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10/552,681	02/28/2007	Dieter Meier	007404-000740 22694 US	3817
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EXAMINER HORNING, JOEL G				
ART UNIT 1792		PAPER NUMBER		
NOTIFICATION DATE 11/20/2009		DELIVERY MODE ELECTRONIC		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

Karla.Dirks@Roche.com
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Office Action Summary

Application No.

10/552,681

Applicant(s)

MEIER ET AL.

Examiner

JOEL G. HORNING

Art Unit

1792

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on 13 August 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 12 and 14-29 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 12 and 14-29 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-8508)
Paper No(s)/Mail Date _____

- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

Status of Claims

1. In the response of August 13th, 2009, applicant has: amended claims 12, 14-21 and 23-25; cancelled claims 13; added claims 26-29. Claims 12 and 14-29 are currently pending.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

2. **Claims 27 and 29** are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Regarding claim 27, Teflon® is a trademark name currently known for some sort of fluoropolymer, however, that is subject to change based upon the desires of the trademark holder, so a person of ordinary skill in the art would be uncertain what polymers might be covered by this term. In order to rectify this situation, Teflon® should be accompanied by the generic terminology (C_xF_y or fluoropolymer) whenever it is used. Additionally, although the use of trademarks is permissible in patent applications, the proprietary nature of the marks should be respected and every effort made to prevent their use in any manner which might adversely affect their validity as trademarks.

Additionally, the phrase "Teflon-like" renders the claim(s) indefinite because the claim(s) include(s) elements not actually disclosed (those encompassed by "-like"), thereby rendering the scope of the claim(s) unascertainable. See MPEP § 2173.05(d).

For example, polyethylene shares some properties that are similar to Teflon®, e.g. they can both be white, is it included in "Teflon-like?"

For the purposes of examination it will be assumed that this language is inclusive of fluoropolymers, though correction is required to remove indefiniteness.

The term "not suitable for laser ablation" in claim 29 is a relative term which renders the claim indefinite. The term "not suitable for laser ablation" is not defined by the claim, the specification does not provide a standard for ascertaining the requisite degree, and one of ordinary skill in the art would not be reasonably apprised of the scope of the invention. For example, in page 5 of the specification, applicant states the due to its properties, ceramic layers consisting of MgO are "unsuitable for laser ablation." However, it is well known to the art of pulsed laser ablation to use MgO targets in order to ablate and then deposit MgO films. MgO is not generally considered unsuitable for laser ablation, it is just considered unsuitable by applicant, for reasons which are not clearly defined. Thus a person of ordinary skill in the art would be uncertain of what the metes and bounds of the claim is, rendering the claim indefinite. Since Wojnarowski et al does not teach that the SiN film is itself suitable for laser ablation, but that it is instead removed as the intermediate sacrificial layer is ablated (if it was "suitable" by itself why would the intermediate layer even be present?), for the purposes of examination, applicant's "unsuitable for laser ablation" will be considered to at least be inclusive of SiN and MgO.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
 2. Ascertaining the differences between the prior art and the claims at issue.
 3. Resolving the level of ordinary skill in the pertinent art.
 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
3. **Claims 12, 15, 17, 19, 23, 24, 26, 27 and 29** are rejected under 35 U.S.C. 103(a) as being unpatentable over Wojnarowski et al (US 5302547) in view of Lee et al (US 2002/0121692).

The claims are directed towards a method for manufacturing a test sensor comprising forming a multiple layer device by forming a multilayer device which includes:

- a. Depositing a metallic layer onto a substrate material by physical vapor deposition;
- b. Depositing an intermediate sacrificial layer;
- c. Depositing an electrically non-conductive layer adjacent to the intermediate sacrificial layer by plasma enhanced chemical vapor deposition;

- d. Selectively removing a portion of the intermediate sacrificial layer and a corresponding portion of either said metallic layer or said non-conductive layer by applying energy.

Wojnarowski et al is directed towards a manufacturing method for patterning polymer layers in a multiple layer electronic device (abstract), such as circuit interconnect devices (col 1, lines 8-10), which sense electrical potentials placed on their metal traces by sending an electrical signal, which can be read, thus they can be considered "test sensor" devices. As shown in figures 3a-d, the method comprises supplying a substrate **10** with a metallic layer (chip pad) **17** deposited on it, onto which an intermediate bilayer **18** and **20** is deposited, onto which a hard mask layer of Silicon Nitride (a dielectric material, which is also not intended to conduct electricity: non-conducting layer, which as discussed in the 112 rejection above is "not suitable for laser ablation" **claim 29**) **76** is deposited via plasma enhanced CVD (col 7, lines 38-56). A portion of the intermediate layer (along with the corresponding portion of the non-conductive layer, **claim 24**) is then removed by applying laser energy to the intermediate layer (**claim 26**, col 7, lines 57-63). Since part of the intermediate layer is destroyed in order to create the desired processing of the hard mask layer of the substrate, it is a sacrificial layer. Wojnarowski et al does not teach how the contact pad **17** is formed.

However, Lee et al teaches that metal contact pads are conventionally formed by sputtering methods (a physical vapor deposition process) [0072].

Thus it would have been obvious to a person of ordinary skill in the art at the time of invention to deposit the contact pads by physical vapor deposition (sputtering) since it was known to the art to be the conventional method of depositing such pads and would produce predictable results (**claim 12**).

4. Regarding **claim 15**, Wojnarowski et al teaches further removal of the intermediate layer by using an ion beam (reactive ion etch, which uses a beam of ions) (col 7, lines 64-67 which directs to col 5, lines 42-43).
5. Regarding **claim 17**, Wojnarowski et al teaches that the metal contact can be aluminum (col 6, lines 36-40).
6. Regarding **claims 19 and 27**, Wojnarowski et al teaches making the intermediate sacrificial layer of polytetrafluoroethylene, either with an additional Kapton layer (col 5, lines 54-55) or by itself (col 8 line 65 through col 9, line 3).
Polytetrafluoroethylene is the generic name for Teflon®, so it is certainly "Teflon-like."
7. Regarding **claim 23**, Wojnarowski et al teaches depositing additional metallic layers (col 8, lines 16-17).
8. **Claims 14, 16 and 20** are rejected under 35 U.S.C. 103(a) as being unpatentable over Wojnarowski et al (US 5302547) in view of Lee et al (US 2002/0121692) further in view of Janai et al (US 6255718).

As discussed previously, Wojnarowski et al in view of Lee et al teaches depositing a layered structure with a polymer intermediate layer (e.g. fluoropolymers like PTFE) over the metal contacts and then patterning it by laser ablation. It further

teaches depositing the polymer intermediate layer in the form of a liquid or a laminate (col 5, lines 14-26), but it does not teach depositing them by PECVD.

However, Janai et al is also directed towards the deposition of polymer layers and then patterning those polymer layers through laser ablation in order to create electronic devices (abstract). Since the polymer layer can be built of fluorocarbon monomers, it also teaches forming these polymer layers as fluoropolymers (col 4, lines 20-21). It further teaches that it is known to deposit these polymer layers by other methods such as liquid deposition methods, but that most polymers deposited this way are transparent to visible light and thus require expensive and less efficient UV lasers in order to ablate them (col 2, lines 23-32). In order to overcome this, it teaches depositing the polymer layers by a PECVD process, which can then allow the polymers to be ablated by visible light lasers (col 3, lines 19-34), they further teach that by modifying the polymer deposition process, the laser absorption of the polymer layers can be tailored for any chosen lasers wavelength (col 7, lines 21-26).

Thus it would have been obvious to a person of ordinary skill in the art at the time of invention to deposit the fluoropolymer intermediate layers of Wojnarowski et al in view of Lee et al by the PECVD process of Janai et al in order to be able to ablate the polymer layers with less expensive visible light lasers and to be able to tailor the absorption of the polymer to match available lasers instead of buying a laser of the proper frequency for different polymeric materials (**claim 20**).

9. Regarding **claim 14**, Wojnarowski et al in view of Lee et al, does not teach what energy densities are required to ablate their polymer intermediate layers, however,

Janai et al teaches ablating the polymer layers with a relatively small amount of laser energy (col 1, lines 42-45) and teaches that its plasma deposited polymers can be ablated at energy densities less than $4\text{J}/\text{cm}^2$, which overlaps with applicant's claimed range (col 5, lines 62-64).

Thus it would have been obvious to a person of ordinary skill in the art at the time of invention to use the energy densities taught by Janai et al to ablate the plasma deposited polymer intermediate layers, since they are taught to be suitable for those layers.

MPEP 2144.05 states: "In the case where the claimed ranges "overlap or lie inside ranges disclosed by the prior art" a prima facie case of obviousness exists."

10. Regarding **claim 16**, Wojnarowski et al in view of Lee et al does not teach if their polymer intermediate layers can be ablated by electron beams, but Janai et al teaches that plasma deposited polymers can be ablated by electron beams (col 4, lines 24).

Thus it would have been obvious to a person of ordinary skill in the art at the time of invention to ablate some of the polymer intermediate layer material though electron beams since it was known to be a suitable method for ablating such plasma deposited polymer layers and would produce predictable results.

11. **Claim 18** is rejected under 35 U.S.C. 103(a) as being unpatentable over Wojnarowski et al (US 5302547) in view of Lee et al (US 2002/0121692) further in view of Trapp et al (US 2002/0192976).

As previously discussed, Wojnarowski et al in view of Lee et al teaches the deposition of an electrically non-conductive SiN layer as an etching masking layer, but it does not teach how thick the layer should be.

However, Trapp et al is also directed towards the formation of etching mask layers and teaches that the thickness of masking layers should be adjusted so that it is thick enough to prevent undesired etching of the substrate, yet thin enough not to hinder the etching process for the desired feature size [0050]. Put another way the thickness of the non-conductive layer is a result effective variable for avoiding undesired etching conditions.

Thus, it would have been obvious to one of ordinary skill in the art at the time of invention to choose the instantly claimed ranges of "a thickness less than or substantially equal to 1 micron" through process optimization, since it has been held that when the general conditions of a claim are disclosed in the prior art, discovering the optimum or workable ranges involves only routine skill in the art. See *In re Boesch*, 205 USPQ 215 (CCPA 1980).

12. **Claims 21 and 22** are rejected under 35 U.S.C. 103(a) as being unpatentable over Wojnarowski et al (US 5302547) in view of Lee et al (US 2002/0121692) further in view of Young (US 2002/0139981).

Wojnarowski et al in view of Lee et al teaches that the "substrate **10** may comprise any structural material" (col 4, lines 65-66), which would include using a polymeric or flexible material, but it does not specifically teach doing so.

However, Young is also directed towards the formation of electrical devices (which include interconnect devices) and it teaches that flexible polymer substrates (such as polyimide [0024]) are desirable substrates for the formation of semiconductor circuit elements since they are flexible, which means they can be used to make curved devices or rolled up to save space or so the device can be formed into other aesthetically pleasing shapes [0002].

Thus it would have been obvious to a person of ordinary skill in the art at the time of invention to use a flexible polymer substrate as the substrate in the process of Wojnarowski et al in order to be able to produce non-planar electrical devices for aesthetic or design reasons or to have a devices which can be rolled up in order to save space (**claims 21 and 22**).

13. **Claim 25** is rejected under 35 U.S.C. 103(a) as being unpatentable over

Wojnarowski et al (US 5302547) in view of Lee et al (US 2002/0121692) further in view of Young (US 2002/0139981) further view of Polak (US 4382101).

Wojnarowski et al in view of Lee et al further in view of Young et al does not teach plasma treating the polymer substrate before depositing the metal layer.

However, Polak is also directed to metal clad polymers (for example, polyimide, column 1, lines 60-65) and teaches that by plasma treating (a plasma activation process) polymer substrates before depositing the metal, the peel strength of the metal layer can be increased (abstract).

Thus it would have been obvious to a person of ordinary skill in the art at the time of invention to perform a plasma activation (plasma treatment) on the polymeric

substrate (such as the polyimide taught by Young and Polak) before depositing the metal layer in order to increase the peel strength of the metal layer and thus have a more robust structure.

14. **Claims 28 and 29** are rejected under 35 U.S.C. 103(a) as being unpatentable over Wojnarowski et al (US 5302547) in view of Lee et al (US 2002/0121692) further in view of Hanyu et al (US 5876877) in view of Yamada et al (US 5319479).
15. Wojnarowski et al in view of Lee et al teaches using an optically transparent mask layer (e.g. SiN) through which the dithering laser is passed during ablation and which provides protection to the underlying areas during the etching process (col 7, lines 43-63) over the intermediate sacrificial layer. This mask layer can be nitrides or oxides (col 8, lines 45-50), but Wojnarowski et al does not specifically teach using a ceramic layer comprising MgO as this mask layer.
16. However, Hanyu et al is also directed towards mask layers which are required to be optically transparent to the optical beams used and yet protect the underlying areas during conventional etching processes (act as an etch stop). It teaches that layers comprising of MgO are suitable for such layers (abstract). It further teaches that SiN is conventionally used for such layers, but that as the laser wavelengths used for patterning become smaller the laser absorption of these layers becomes larger than is desirable (col 1, line 52 through col 2, line 17), so it teaches instead using ceramic mask layers comprising Al_2O_3 -MgO (which is considered insulating or "non-conductive") because they are more suitable for these lower wavelength laser patterning processes (col 3, line 57 through col 4, line 6). However, Hanyu et al only

teaches depositing the Al_2O_3 -MgO film by an ion beam assisted electron beam source (col 4, lines 9-14).

17. However, Yamada et al is also directed towards the formation of multilayer electronic devices (abstract) and it teaches that Al_2O_3 and MgO are both suitably deposited onto polymers by using Plasma enhanced CVD (col 4, lines 9-18).
18. Thus it would have been obvious to a person of ordinary skill in the art at the time of invention to use a non-conductive ceramic layer comprising MgO as the masking layer instead of SiN because the MgO ceramic is taught to provide superior optical transparency at lower laser wavelengths while still providing a suitable amount of masking protection and to deposit the layer by plasma enhanced CVD since it was a method known to be suitable for depositing those materials onto polymeric surfaces for electronic applications (**claims 28 and 29**).

Response to Arguments

19. Applicant's arguments with respect to **claims 12 and 14-29** have been considered but are not convincing in view of the new ground(s) of rejection necessitated by amendment.
20. Regarding applicants arguments directed towards **claim 12**, applicant states that "Neither Wojnarowski nor Lee discloses using an intermediate, "sacrificial" layer to selectively remove an adjacent non-conductive or metallic layer." However, as discussed in the office action above, Wojnarowski et al teaches depositing an intermediate polymer layer which is selectively ablated in order to remove an

adjacent mask layer (which can be non-conductive) above it, so the intermediate layer of Wojnarowski et al is a "sacrificial layer," meeting the claim limitations.

Conclusion

21. No current claims are allowed.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to **JOEL G. HORNING** whose telephone number is (571) 270-5357. The examiner can normally be reached on M-F 9-5pm with alternating Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael B. Cleveland can be reached on (571)272-1418. The fax phone

number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/J. G. H./
Examiner, Art Unit 1792

/Michael Cleveland/
Supervisory Patent Examiner, Art Unit 1792